2010 Rising Star Biographies

MphasiS: Abira Ganguli

Abira Ganguli is a Client Partner for MphasiS, a subsidiary of HP, where she is responsible for leading business process outsourcing pursuits in North America, for its Banking and Capital Markets business unit. Abira works closely with strategic account teams across MphasiS and HP where her goal is to identify, shape and deliver to clients integrated solutions that leverage the best of both organizations. Abira joined MphasiS in 2009.

Prior to MphasiS, Abira spent several years with TATA Consultancy Services (TCS), where she managed relationships with key financial services institutions. In this capacity she had the opportunity to lead teams of over 200 associates based in several locations globally. Working for TCS on the supplier side brought a unique perspective to Abira's learning and growth, which she finds invaluable in her current role at MphasiS.

Abira was born in Kolkata India and raised in Mumbai. She has a Bachelor of Science in Statistics and an MBA in Marketing, from the University of Mumbai. She has lived and worked in several cities in both India and the US, and loves the adventure of moving and growing roots in new places, having done this close to 30 times and counting! For the past three years, Abira has lived in New York City with her husband, and thoroughly enjoys its diversity and energy. She supports women and children's rights, and, has volunteered for literacy and functional education programs in India.

Women's Bond Club: Adele Caschera

As an Implementation Project Manager at Kurtosys, Adele is responsible for deploying configurable solutions for internal, client, and third-party reporting to the world's leading investment management and asset servicing firms. She worked overseas to assist the CEO with due-diligence to complete Kurtosys' acquisition of FundWorks in 2009. Adele is also involved in creating the end-to-end methodology for all company-wide procedures, Pre-Sales activities, managing accounts for all US based Customers, and playing an active role in US Customer Support.

Prior to joining Kurtosys, Adele served as Business Analyst at Accenture and managed the process of gathering and targeting information from a Fixed Income UK operations group at a large investment bank. She developed an approach to capture current state details pertaining to data, application and operational processes to complete detailed business requirements. Adele also performed a detailed cost benefit and implementation approach analysis, which targeted implementation of a consolidated books & records system that focused on reducing operational risk, increasing operational efficiency, and alleviating dependency on the back office technology vendor.

Also at Accenture, Adele assisted in the management of an Accenture Platinum banking client by tracking account project financials, staffing requests, delivery status and contract details for all projects falling under the responsibility of the client Partner.

Adele was also a member of the Empowering You Program at Accenture, which focused on delivering knowledge, tools and insight to better enable non-executives across North America to advance their careers. She was also the Co-Chair of Learning Action Team, on the Team Success Steering Committee, Co-Chair of the Team Success Survey Team and on the Social Events Team at Accenture.

Nasdaq OMX: Adena Friedman

Adena Friedman is Chief Financial Officer and Executive Vice President of Corporate Strategy for The NASDAQ OMX Group, Inc.

In 2007-2008, Ms. Friedman directed NASDAQ's acquisition of OMX Group, including a NASDAQ OMX investment in NASDAQ Dubai, and NASDAQ OMX's acquisition of the Philadelphia Stock Exchange. In 2005, Ms. Friedman directed NASDAQ in its acquisition of the INET ECN.

Before assuming the role of CFO in 2009, Ms. Friedman served as Executive Vice President, Corporate Strategy and head of Global Data Products.

Ms. Friedman joined NASDAQ in 1993.

Barclays Capital: Adrienne Filipov

Ms. Friedman earned an M.B.A., from Owen Graduate School of Management, Vanderbilt University. She holds a B.A. in Political Science from Williams College in Massachusetts.

Adrienne Filipov is the Chief Operating Officer (COO) for the Global Finance & Risk Solutions business within the Investment Banking Division of Barclays Capital. She is responsible for strategy, reporting, personnel and other operating functions for the businesses that comprise Global Finance & Risk Solutions globally including Debt Capital Markets, Leveraged Finance, Equity Capital Markets, Risk Solutions and a number of other solutions oriented businesses. Adrienne is also the COO of the Women's Initiatives Network for Barclays Capital Americas.

Adrienne has been with Barclays Capital since 2008 and worked at Lehman Brothers for the 7 years prior in the same position and as a coverage banker for Industrial clients. Adrienne has an MBA from Columbia University and a BS in mechanical engineering from Cornell University. Prior to business school she worked in manufacturing for International Paper in Raleigh, NC and Philadelphia, PA. She lives in New York city with her husband, Sergei.

Bank of America Merrill Lynch: Alyssa Burkhardt

Alyssa Burkhardt is a Director on the Interest Rate Derivatives desk at Bank of America Merrill Lynch, where she works as an exotic interest rate derivatives trader. Alyssa is responsible for pricing and hedging structured US dollar interest rate risk.

Alyssa joined Merrill Lynch in 2001 as a summer intern, beginning full time the following year as an analyst in Debt Capital Markets. Since then, she has been actively involved in recruiting and mentoring incoming analysts and associates.

Alyssa graduated from University of Virginia in 2002 with both a Bachelor of Science degree in Systems Engineering and a Bachelor of Arts degree in Economics.

J.P. Morgan: Angelia Schmidt

Angelia Schmidt is an Executive Director and Senior Syndicate Manager in the Underwriting and Syndicate Group for tax-exempt bond issuance at J.P. Morgan. She is responsible for executing new issue transactions for a variety of municipal and non-profit clients and has driven business initiatives around technology and infrastructure. Previously, Angelia worked in the Structured Credit Group overseeing the placement of taxable credit products to U.S. and international investors.

Angelia leads numerous people and diversity initiatives including acting as co-chair to a J.P. Morgan's Investment Bank Women's Network committee and is co-founder of a women's network within Public Finance. She is also an active member of the Cornell University recruiting team for J.P. Morgan and involved in various mentoring programs.

Angelia joined J.P. Morgan after graduating from Cornell in 1998 with a B.S. in Engineering. She is expected to complete her M.B.A at Columbia University this May and resides in Manhattan with her husband and son.

Thomson Reuters: Britt Hogue

Britt Hogue is a Vice President in the Markets Division at Thomson Reuters, the world's leading source of intelligent information for businesses and professionals. Britt leads a team in the Content, Technology & Operations unit that is responsible for maintaining a content set focused on legal entity data. Since the Thomson-Reuters merger in 2008, she has been instrumental in a corporate-wide initiative to more efficiently structure and share content across the organization.

Britt started her career as a financial analyst in JP Morgan's Private Bank, where she supported a team of client relationship managers and salestraders based in both New York and Atlanta who managed clients across the Southeast region of the U.S. She joined Reuters in 2003 as a market analyst in the data operations department. In 2004 and 2005, she relocated to Bangalore, India and Singapore in support of the company's Fast Forward program, a successful initiative to increase its presence in offshore sites. In her current role, Britt heads a group of 200 with staff in India, Poland, China, Singapore, Cyprus and the UK.

Britt earned a Bachelor of Arts degree in Economics from Spelman College and a Masters of Science in Taxation from Fordham University. She is a member of the Thomson Reuters' Women's Network and recently served on a committee promoting diversity across her division. She volunteers through New York Cares and the YWCA.

Broadridge Financial Solutions: Caitlin Connolly

Caitlin Connolly is a Manager of Quality Control at Broadridge Financial Solutions in their Investor Communication Solutions division. She leads a team of over 80 people and is responsible for ensuring the accuracy of all regulatory and marketing communication materials that are distributed on behalf of banks, brokers, mutual funds and publically traded companies. Caitlin is also responsible for developing and implementing best practices to improve productivity, quality, and efficiency throughout the division.

Caitlin started her career at Broadridge when she was selected into the Management Training Program in 2005, where she was responsible for providing observations and recommendations to senior management. Caitlin graduated from Hofstra University in 2005 with a Bachelor in Business Administration.

Credit Suisse: Carly Baxter

Carly Baxter is a Vice President at Credit Suisse in the Leveraged Finance Capital Markets Group. In this role, Carly is responsible for underwriting and arranging leveraged loan transactions, including: leveraged buyouts, refinancings, DIP financings, exit financings, covenant restructuring amendments and other bank loan amendments.

Prior to joining the Leveraged Finance Capital Markets Group, Carly worked in the Investment Banking Division at Credit Suisse, where she played an integral role in originating and executing leveraged buyouts, recapitalizations, equity offerings and M&A assignments for Financial Sponsor clients. Carly began her career in finance working in the Toronto and New York offices at RBC Capital Markets in their Investment Banking Division.

Carly graduated in 2001 from Wilfrid Laurier University in Waterloo, Canada with Highest Distinction with an Honours Bachelor of Business Administration. Carly has held the Chartered Financial Analyst designation since 2005.

Bunge: Chao Chin

Chao joined Bunge Limited's corporate Strategic Planning group in October 2007 and has been involved in a range of functions including the evaluation of global and regional acquisition and investment opportunities and development of the strategic and business plans. Her focus at Bunge has been in the Agribusiness segment. She began her career at Credit Suisse investment banking in 1999 as an analyst. She was promoted to Associate in 2002 and then Vice President in 2006. During her time at Credit Suisse, she worked primarily with clients in the Consumer Products and Retail industries to execute M&A, LBO and financing transactions. Notable transactions she has been involved in at both Bunge and Credit Suisse include the proposed acquisition of Corn Products by Bunge, Kraft Foods' acquisitions of Nabisco and Balance Bar, Kraft Foods' IPO and Philip Morris' acquisition of Sampoerna. In the summer of 2010, Chao will be moving to Geneva, Switzerland to join Bunge's Europe team as a Director in Business Development.

Chao graduated from the University of California, Berkeley with a Bachelor of Science in Business Administration in 1999.

BNY Mellon: Denise M. Morgenthaler

Denise Morgenthaler is a Vice President, Senior Training Consultant at BNY Mellon in the Risk Management & Compliance Sector. Denise joined the company in 2002 as a Compliance Officer with the Policy and Regulatory Task Force where she managed the corporate policy directory, facilitated corporate governance rulings for the firm and served as a liaison to our Federal and State regulators. In 2006, she joined the Compliance Training team to develop new risk-based compliance curriculum and has oversight of large scale initiatives and has founded a special interest group of cross-industry compliance training professions. Before joining BNY Mellon, Denise held various leadership positions at Southwestern Bell Corporation (at&t) focused on mergers & acquisitions, leadership development training and performance consulting directives.

Denise distinguishes herself within the company's Women's Initiatives Network (WIN) Mentoring and Community Outreach committees through her on-going display of leadership around WIN activities. And is co-chair of the United Way - Women United in Philanthropy 4^{th} Annual Luncheon fund-raising event.

Denise holds a PMP certification from Boston University, a MS is Counseling and HR Development from the University of Bridgeport-Stamford and a BS in Psychology from Hartwick College. She and her husband live in Hoboken, New Jersey.

Accenture: Joina Liao

Joina Liao, a Senior Manager in Accenture's Financial Services Systems Integration Consulting organization, specializes in program management, business process redesign and implementation within the Financial Services Capital Markets operating unit. Joina graduated from the University of California, San Diego with a Bachelor of Science in Management Science and joined Accenture in 2000 focusing her expertise on Reference Data solutions. Key accomplishments include successfully implementing a global team that is responsible for the core data processing function of a major reference data industry utility. Joina is known for her delivery excellence and has served global clients including investment banks, asset management firms, hedge funds and exchanges.

Joina has served as the Financial Services Data Management Community of Practice Lead and is involved in various leadership and mentorship programs.

Goldman Sachs: Karen Trapani

Karen Trapani is a Vice President in the Operations Division at Goldman Sachs. She joined Goldman Sachs in 2000 as an Associate and was promoted to Vice President in 2001. Karen oversees risk management activities and new product integration within GS Bank USA Operations and is actively involved in providing strategic direction to the division's risk and control framework.

Since joining the firm, Karen has been actively involved in recruiting, mentoring and leading orientation programs. She is also a member of the Operations Women's Network Steering Committee and coordinates various events within the Operations Division, as well as represents the firm at industry leadership forums.

Prior to joining Goldman Sachs, Karen worked as an audit manager at AIG. Karen attended Hofstra University and earned a BBA degree in Accounting. She is a member of the AICPA and is a Certified Public Accountant. She is also a mother of twin girls and resides with her husband in New York City.

Deloitte Consulting: Liliana Robu

Liliana is a Senior Manager in the Strategy and Operations practice of Deloitte Consulting, focused on serving clients in the Banking and Securities space. Her experience includes business strategy development, operating models design, and process reengineering, with a focus on customer experience and productivity gains. She worked on large scale engagements with investment banks, investments management companies, and commercial banks in the United States, Latin America, Europe and Asia. Liliana holds an MBA from MIT and is pursuing her PhD in the application of system dynamics for process redesign. Liliana is passionate about traveling, foreign languages, and genetics.

ICAP: Mary Beth Sweeney

Mary Beth Sweeney, a Sales Associate with the Hybrid team at ICAP, works closely with the broker desks and the IT staff to develop and deploy ICAP's proprietary electronic trading platform both internally and to our customers. Prior to working with the Hybrid team, Mary Beth worked in the Patent Brokerage department. She was intimately involved in all aspects of the business, providing research and due diligence for all intellectual property transactions occurring within the division.

Since receiving a B.S. in Finance from Siena College, Mary Beth has worked on market research, account maintenance, and sales strategy primarily within the financial industry. She has worked at industry specialist firms TheMuniCenter and AllianceBernstein LP before beginning her career at ICAP.

Morgan Stanley: Maya Phatate

Maya is an Executive Director at Morgan Stanley in the Firm Operational Risk Department. Maya is currently responsible for Enterprise Risk Management, including consolidated Board-level reporting for Risk Management and interdisciplinary regulatory matters. Enterprise Risk Management is a high profile activity and has grown in importance and stature with our conversion to a Financial Holding Company (FHC). Previously at Morgan Stanley, Maya was responsible for Operational Risk Governance and also served as the Operational Risk Client Relationship Manager for the Investment Banking and Research Divisions. In addition to a wide range of highly visible internal governance leadership activities, Maya was directly responsible for the Firm Operational Risk Department's conversion to an FHC compliant function and served as the central point person for Federal Reserve Board interactions.

Maya began her career at Morgan Stanley in 2005, after considerable project management and consulting experience. She was promoted to Vice President in 2008, followed by promotion to Executive Director in 2010.

Maya graduated from Columbia University in 2004 with a Master in Public Administration and from the University of Toronto in 2000 with a Master of Science in Planning. She holds undergraduate degrees in Physics and Fine Arts from the University of British Columbia.

RBS: Michaela Galluzzo

Michaela (Mica) Galluzzo is Senior Vice President, Coverage for RBS Global Banking & Markets. She is one of the youngest SVPs within GBM and has earned the responsibility of managing investment banking relationships with the large corporate Consumer, Retail & Hospitality clients. Mica is also actively involved in recruiting as Co-Captain of the Duke team and also helps coordinate RBS' sponsorship of Dress for Success activities.

Mica joined RBS in 2005 from JPMorgan's Industrials Coverage Group. She spent four years in Coverage/Corporate Banking with JPMorgan and its predecessor, Banc One Capital Markets, Inc. after graduating from Bank One's First Scholar rotational training program. Mica completed her M.B.A. from Northwestern's Kellogg Graduate School of Management in 2001 and her A.B. in Economics and Political Science Duke University in 1998.

Deutsche Bank: Nancy Romatzick

Following her undergraduate program at the University of Pennsylvania, Nancy joined DB in 2001as an Analyst in the Mergers and Acquisitions Department. Nancy has always been a top ranked banker in her peer group. In 2004, Nancy was promoted to Associate. As an Associate, Nancy also did a one-year rotation with Deutsche Bank in Europe. Nancy has since been promoted to Vice President.

During the course of her Analyst and Associate careers, Nancy has established herself as a lynchpin of the M&A effort in the Real Estate, Gaming, Lodging and Leisure sector. In partnership with Arthur Goldfrank, the M&A Managing Director responsible for that sector, Nancy has executed numerous transactions in the space, including some of the highest profile transactions in the Gaming sub-sector. In her more senior role as Vice President, Nancy has independently led the execution of M&A mandates for DB.

Through her career, Nancy has been very active in numerous franchise development initiatives. Nancy is a fixture in Wharton recruiting and has also run the summer intern program for the M&A group, encompassing training, evaluation and recruitment of interns as full time Analysts and Associates. Nancy also co-runs the Associate Development Program for MBA grads that joined DB in 2009.

NYSE Euronext: Nancy Torres-Gutierrez

Nancy Torres is Floor Operations Manager in the NYSE Operations Division. She was originally hired as a Floor Operations Supervisor trainee in May 2003 and was promoted through the job family program to Supervisor, Lead Supervisor and then to her current position as Manager in 2007.

Nancy holds a degree in Finance from Bentley University (BS ’02) and is responsible for overseeing the daily operation of the most active Service Desk on the trading floor – managing supervisory staff, overseeing technology and trading, developing written processes and procedures for Floor staff guidance, and interfacing with customers. Nancy also plays a critical role in the training and support of new broker technology for the floor community. This was especially important during the implementation of the NYSE New Market Model.

Nancy Torres stands out for her ability to think outside the box and assume leadership responsibilities in a high stress environment and, as such, is an invaluable part of the NYSE Floor Operations team. She shows great promise as a leader as evidenced by her ability to manage problems presented by Floor brokers and Designated Market Makers on a daily basis and particularly during crisis situations.

AXA Equitable: Nora Busmane

Nora Busmane is a Senior Financial Analyst with Investor Relations at AXA Equitable. As part of a small and highly visible group within the company, Nora’s responsibilities focus on executive communication to top management on a local and global level, providing market and industry intelligence. To address the needs of the department’s stakeholders, Nora designs and implements new processes for aggregating and analyzing institutional investor profiles, statistics and competitor financial data. In 2009, Nora was selected to participate in the Leadership Engagement and Development program at AXA Equitable which is aimed at recognizing and fostering top emerging talent.

Nora attended the College of Saint Elizabeth with a full international scholarship and graduated in 2007 with a Bachelor’s degree in Finance and International Relations.

SunGard: Rachel Knight

Rachel Knight is marketing manager for SunGard's Ambit banking solutions and is responsible for implementing the marketing campaigns across the Americas - including US, Canada and Latin America. Specifically, she works to understand priority markets for relevant solutions and unique requirements and market drivers within the region.

Previously, she worked as a marketing manager for SunGard's joint banking and corporations segment, managing lead generation activities, and as the marketing and sales coordinator for SunGard's BancWare solutions managing sales proposals and assisting with lead generation activities. Rachel began her career at SunGard as an intern in the documentation department, performing quality control of product guides and managing the business' CRM information.

Rachel has a Bachelors of Arts degree in International Relations from Boston University.

Standard & Poor's: Vandana Sharma

Vandana Sharma, based in Standard & Poor's New York office, is a Director in the Financial Institutions Group. Vandana initially joined Standard & Poor's in 1995 as an insurance analyst. She then proceeded to build her credit skills through the analysis of structured credit. Currently, Vandana has analytic responsibility for domestic banks, thrifts, specialty finance companies and Government-Sponsored Entities. Vandana has also been a credit officer at a major investment bank and has spent time in the financial guaranty sector.

Vandana holds a Bachelor of Science degree in Finance from the University of Virginia and a Master of Business Administration degree from Cornell University. Additionally, Vandana teaches a finance workshop at Georgetown University.

American Express: Vivian Zhou

Vivian Zhou is a Director at American Express in the Capital Markets Group of Global Treasury, a group responsible for funding and liquidity of the company. Vivian is responsible for the management of all medium term note programs and issuance of unsecured debt in capital markets across the globe.
Prior to joining Global Treasury, Vivian was a Director in the Consumer Cards Services Group at American Express, where she led a finance team in support of the Proprietary Lending Business and Pricing Capabilities. Before joining American Express in 2006, Vivian was responsible for business development and provided M&A advisory services at KPMG.

Vivian holds an MBA from The Wharton School of UPENN, and a B.S. in Accounting from Binghamton University. She is a certified public accountant.

IBM: Vivienne Hee

Vivienne Hee is Managing Consultant within IBM Capital Markets Trade Solutions Practice with over 12 years of experience in the Financial Services industry, working on business and IT projects spanning front, middle and back office processes across complex derivatives, fixed income, foreign exchange and equities products. She has strong experience in business requirements gathering and analysis, functional specifications, system implementation, data migration, user acceptance testing and project management on third party system implementations. Prior to joining IBM she worked with BearingPoint and Deutsche Bank.

While on assignment, Vivienne has been a tutor for the past two years at Philani, a Saturday tutoring program located outside of Johannesburg, South Africa. The program is an extension of an AIDS clinic and many of the participants have lost family members to the disease. Vivienne has not only donated her time regularly but also donated generously to buy much needed supplies for the children who lack the basic necessities to participate much less succeed in school. She has been focused on the youngest children in the program and intent on instilling the fundamentals of math and language. She has also been instrumental in encouraging her South African colleagues to participate in the program to ensure that there is support when she is no longer there.