



Mary Caracappa

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Mary Caracappa is a Managing Director in Morgan Stanley's FHC Governance and Assurance department as the head of the New Products across the Firm.

Prior to that she served in the Investment Management Division, as head of divisional reporting and analytics, as well as serving as the division's liaison with regulators and audit functions. She served as Chief Administrative Officer for the Firm's global Merchant Bank from 2007 to 2010, leading a successful global process / technology platform reengineering initiative.

Mary has been with Morgan Stanley since 1986. She was the Firm's Sarbanes-Oxley Compliance Officer and the Operational Risk Manager for the Finance Division from 2003-2006. She held the role as the Global Investment Banking & Real Estate Controller from 1999-2002, and for the Retail Division (GWM) from 2001-2004. Much of her efforts over the last 12 years in Finance have been focused on process and systems reengineering.

She has had a broad experience within Controllers, serving as a controller for Company Functions (Corporate Reporting & Budgeting, IT Finance) as well as product divisions (Debt/Equity Syndicates, Equities (Cash Products, Reporting)).

Prior to Morgan Stanley, Mary served as a Controller for General Occidental Holdings Mgmt. Co. after three years in audit with Price Waterhouse Cooper in New York. Mary graduated from Wagner College with a BS in Accounting and currently serves on their Executive Advisory Committee. She is also serves on the board of the Women's Bond Club as their Co-President. She is a Certified Public Accountant.